Louis Tambaro

Principal

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Louis Tambaro draws on more than two decades of legal practice combined with entrepreneurial business leadership to deliver practical, creative, and strategic solutions for clients facing complex and multi-dimensional challenges. His dual perspective, shaped both in the courtroom and in the business world, enables him to guide entrepreneurial clients and companies through high-stakes disputes, regulatory hurdles, and transactional matters with a focus on both minimizing risk and achieving success when litigation becomes unavoidable.

Louis's litigation experience is broad, spanning complex commercial matters such as contract and partnership disputes, shareholder and governance conflicts, business torts, and fraud-based actions. He has represented businesses, executives, and individuals in sensitive, high-stakes cases where both financial exposure and reputational risk were on the line, and he is adept at crafting strategies that balance aggressive advocacy with pragmatic resolution.

Louis has highly focused experience in financial services law and franchise law. He represents independent broker-dealers, RIAs, wealth management firms, and individual financial advisors in every aspect of their business operations, including litigation (such as broker expungement applications), regulatory defense, and transactional planning. He regularly appears before state and federal courts in New Jersey and New York, as well as in arbitration forums such as FINRA and the AAA. His entrepreneurial background as a co-manager of a recruiting branch office for one of the nation's largest independent broker-dealers gives him a unique understanding of the pressures and opportunities his clients face.

On the franchise side, Louis has represented single-unit and multi-unit franchisees, area developers, and franchisors in a wide range of disputes, including injunction applications, contract and royalty fee disputes, and territorial conflicts. He has successfully advocated for clients both entering and exiting franchise systems and has counseled multi-unit owners, national associations, and regional franchise groups across the United States

Beyond litigation, Louis frequently advises financial professionals on licensing and registration concerns, advisor transitions, practice acquisitions and sales, succession planning, and compliance strategies. In the franchise sector, he provides operational and

Services

- Commercial Litigation
- FinTech
- Franchise Law
- Hospitality

legal guidance that helps his clients strengthen and grow their businesses while minimizing regulatory and contractual risks.

Education

- Fordham University School of Law (J.D.)
- New York University (B.A., *cum laude*)

State Bar Admissions

- New York
- New Jersey

Court Admissions

- United States District Court for the Eastern District of New Jersey
- United States District Court for the Eastern District of New York
- United States Court of Appeals for the Second Circuit

Professional Affiliations

- Financial Services Institute, Member
- Hope Through Education- A Fund for Better Futures, Board of Trustees
    - Independent 501(c)(3) whose mission is to provide scholarships to economically disadvantaged families who wish to provide their children with the lifelong advantage of a quality private or values-based education

Recognitions

- Named to *The Best Lawyers in America*® for Commercial Litigation (2023-2026)
- He was named to the New Jersey Super Lawyers® list for Commercial Litigation as seen in *New Jersey Super Lawyers* magazine, 2020-2022
- He was named to the New Jersey Rising Stars® list for Commercial Litigation as seen in *New Jersey Super Lawyers* magazine, 2012-2015
- Capstone - Fi360, Granted Accredited Investment Fiduciary (AIF) Designation - in connection with the implementation of fiduciary standards and in lending investment advice, 2016

**Selected from 2012 consecutively through 2022 to be included in the New Jersey Super Lawyers (Rising Star) list published by Thomson Reuters based on peer review and other achievement-based factors. Not approved by the New Jersey Supreme Court.*

** The American Institute of Family Law Attorneys is not approved by the New Jersey Supreme Court.*

Featured Works

Publications

- Featured, "Legal Ethics Roundup", with #13 “AI Ain’t Atticus: Why Machine Learning

Can't Master Legal Reasoning (Yet).", *Above the Law* May 19, 2025

- Author, "Leveraging Dual-Role Expert Witnesses in FINRA Arbitration: Strategic Considerations and Ethical Framework," The Legal Intelligencer, May 12, 2025
- Author, "Your Finra defense: Unlock efficiency and clarity with a dual-role expert witness," Investment News, May 5, 2025
- Quoted, "'Disgruntled' ex-Wells Fargo advisor loses fight with firm over loan money," Investment News, May 20, 2025
- Quoted, "FINRA moves to boot Alpine Securities, same firm that claims the regulator can't," Investment News, March 27, 2025
- Quoted, "Is FINRA on the ropes?," Investment News, March 13, 2025

Blogs

August 5, 2025
Understanding the FDD and Franchise Agreement Before You Invest
Franchise Agreements Are Binding and Often One-Sided Franchise Agreements are typically drafted by the franchisor and presented on a "take it or leave it" basis. These contracts often impose strict controls over how you operate your business — from...

July 16, 2025
Beyond the Verdict: The Essential Drive of Post-Judgment Discovery
You've navigated the complexities of litigation, meticulously built your case, and ultimately secured a judgment. The sense of victory is palpable, and you hold in your hand that seemingly definitive court order. However, it's crucial to recognize that a...

May 27, 2025
To Use or Not to Use? Fear Not! The Public Domain Beckons Thee
Ah, the public domain—where copyrights dare not tread, and content lives free from the litigious claws of infringement claims. Whether thou art a humble creator or a bold entrepreneur, rejoice! For in this blessed realm, you may pluck the...

May 12, 2025
AI Ain't Atticus: Why Machine Learning Can't Master Legal Reasoning (Yet)
An increasing number of litigators are relying on artificial intelligence to streamline their workflows and generate legal products, from research and memos to predictive insights. While the efficiency and capabilities of these tools are advancing rapidly, as a seasoned...

April 29, 2025
Stop! … In the Name of Injunctions: The Benefits of Seeking Temporary Restraints and Injunctive Relief in Intellectual Property Disputes and Measures for Litigation Avoidance
As a commercial litigator with extensive experience in protecting clients' interests (through applications for temporary restraints and emergent relief, I've seen firsthand how quickly intellectual property (IP) disputes can escalate and the significant risks they pose to businesses. Whether...